



INFORMATION CITED BY APPLICANT THAT MAY BE MATERIAL TO THE
PROSECUTION OF THE SUBJECT APPLICATION

Applicant: Christopher Keith Attorney Docket No.: IVEN125524
Application No.: 09/802,223 Art Unit: 3628 / Confirmation No.: 6685
Filed: March 8, 2001 Examiner: O. Oyeibisi
Title: AUTOMATED PREFERENCES FOR MARKET PARTICIPANTS

U.S. PATENT DOCUMENTS

*Examiner Initials	Cite No.	Document No.	Kind Code	Date (mm/dd/yyyy)	Name
_____	U1	3,702,007		10/31/1972	Davis II
_____	U2	4,346,442		08/24/1982	Musmanno
_____	U3	4,376,978		03/15/1983	Musmanno
_____	U4	4,456,957		06/26/1984	Schieltz
_____	U5	4,823,265		04/18/1989	Nelson
_____	U6	5,077,665		12/31/1991	Silverman et al.
_____	U7	5,101,353		03/31/1992	Lupien et al.
_____	U8	5,148,365		09/15/1992	Dembo
_____	U9	5,148,368		09/15/1992	Okubo
_____	U10	5,253,165		10/12/1993	Leiseca et al.
_____	U11	5,297,032		03/22/1994	Trojan et al.
_____	U12	5,412,804		05/02/1995	Krishna
_____	U13	5,453,601		09/26/1995	Rosen
_____	U14	5,615,269		03/25/1997	Micali
_____	U15	5,689,652		11/18/1997	Lupien et al.
_____	U16	5,845,266		12/01/1998	Lupien et al.
_____	U17	5,847,845		12/08/1998	Takahashi et al.
_____	U18	5,915,245		06/22/1999	Patterson, Jr. et al.
_____	U19	5,924,083		07/13/1999	Silverman et al.
_____	U20	5,953,707		09/14/1999	Huang et al.

LAW OFFICES OF
CHRISTENSEN O'CONNOR JOHNSON KINDNESS^{PLLC}
1420 Fifth Avenue
Suite 2800
Seattle, Washington 98101
206.682.8100

_____	U21	5,983,200		11/09/1999	Slotznick
_____	U22	6,014,643		01/11/2000	Minton
_____	U23	6,029,146		02/22/2000	Hawkins et al.
_____	U24	6,035,289		03/07/2000	Chou et al.
_____	U25	6,068,117		05/30/2000	Koehn
_____	U26	6,101,484		08/08/2000	Halbert et al.
_____	U27	6,131,087		10/10/2000	Luke et al.
_____	U28	6,160,204		12/12/2000	Steffens
_____	U29	6,236,980	B1	05/22/2001	Reese
_____	U30	6,278,982	B1	08/21/2001	Korhammer et al.
_____	U31	6,285,989	B1	09/04/2001	Shoham
_____	U32	6,317,727	B1	11/13/2001	May
_____	U33	6,321,212	B1	11/20/2001	Lange
_____	U34	6,343,278	B1	01/29/2002	Jain et al.
_____	U35	6,418,419	B1	07/09/2002	Nieboer et al.
_____	U36	6,421,653	B1	07/16/2002	May
_____	U37	6,493,682	B1	12/10/2002	Horrigan et al.
_____	U38	6,505,174	B1	01/07/2003	Keiser et al.
_____	U39	6,601,044	B1	07/29/2003	Wallman
_____	U40	6,625,583	B1	09/23/2003	Silverman et al.
_____	U41	6,629,082	B1	09/30/2003	Hambrecht et al.
_____	U42	6,792,399	B1	09/14/2004	Phillips et al.
_____	U43	2003/0014354	A1	01/16/2003	Madoff et al.

FOREIGN PATENT DOCUMENTS

None.

LAW OFFICES OF
CHRISTENSEN O'CONNOR JOHNSON KINDNESS^{PLLC}
1420 Fifth Avenue
Suite 2800
Seattle, Washington 98101
206.682.8100

OTHER INFORMATION
(Including Author, Title, Date, Pertinent Pages, Etc.)

*Examiner Initial	Cite No.
_____	O1 Board of Trade of the City of Chicago, <i>Commodity Trading Manual</i> , 1998, pp. 178-179, 387.
_____	O2 Hull, J.C. <i>Introduction to Futures & Options Markets</i> , Prentice Hall, Englewood Cliffs, N.J., 1995, pp. 4-6, 11, 26, 33-34, 188-190.
_____	O3 "More Companies Buying Stock," <i>The Salt Lake Tribune</i> , April 9, 2000, http://proquest.umi.com/pqdweb?index=6&did=52501230&SrchMode=1&sid=21&Fmt=3... [retrieved November 3,2005]
_____	O4 "Streamer Free Real-Time Stock Quote Service Registers 12,000 Users," <i>PR Newswire</i> , November 3, 1999, http://www.findarticles.com/m4PRN/1999_Nov_3/57153236/p1/article.jhtml , [retrieved April 5, 2004].

Examiner

Date Considered

*Examiner: Initial if reference considered, whether or not citation is in conformance with M.P.E.P. § 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

KLM:sdd

LAW OFFICES OF
CHRISTENSEN O'CONNOR JOHNSON KINDNESS^{PC}
1420 Fifth Avenue
Suite 2800
Seattle, Washington 98101
206.682.8100